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10/539,310	06/16/2005	Gi-Bong Kwon	0630-2352PUS1	2659		
2292 7590 09/20/2007 BIRCH STEWART KOLASCH & BIRCH	EXAMINER					
PO BOX 747			LEY, FRANCISCO M			
FALLS CHUR	.CH, VA 22040-0747		ART UNIT	PAPER NUMBER		
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# Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary		Application No.		Applicant(s)	
		10/539,310		KWON ET AL.	
		Examiner		Art Unit	
		Francisco M. Ley		3746	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover	sheet with the co	rrespondence add	ress
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period we are to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS CO 36(a). In no event, however will apply and will expire S , cause the application to	MMUNICATION wer, may a reply be time SIX (6) MONTHS from the become ABANDONED	By filed the mailing date of this con (35 U.S.C. § 133).	
Status					
•	Responsive to communication(s) filed on 16 July This action is FINAL. 2b) This Since this application is in condition for allowant closed in accordance with the practice under E	action is non-finance except for for	mal matters, pros		merits is
Dispositi	ion of Claims				
5)□ 6)⊠ 7)□	Claim(s) 1-11 is/are pending in the application.  4a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed.  Claim(s) 1-11 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and/or	vn from considera	•	·	
Applicati	ion Papers				
10)	The specification is objected to by the Examiner The drawing(s) filed on is/are: a) acce Applicant may not request that any objection to the o Replacement drawing sheet(s) including the correcti The oath or declaration is objected to by the Ex	epted or b) objed drawing(s) be held i ion is required if the	n abeyance. See drawing(s) is obje	37 CFR 1.85(a). ected to. See 37 CFF	. '
Priority u	under 35 U.S.C. § 119				
a)[	Acknowledgment is made of a claim for foreign  All b) Some * c) None of:  1. Certified copies of the priority documents  2. Certified copies of the priority documents  3. Copies of the certified copies of the priorical application from the International Bureau  See the attached detailed Office action for a list of	s have been recei s have been recei ity documents hav i (PCT Rule 17.2(	ved. ved in Applicatio ve been received a)).	n No d in this National S	itage
2) Notic 3) Inform Pape	t(s) te of References Cited (PTO-892) te of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date 6/16/2005, 3/15/2007.	5) <u> </u>	nterview Summary (I Paper No(s)/Mail Dato Notice of Informal Pa Other:	e	

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#### **DETAILED ACTION**

### Specification

1. The disclosure is objected to because of the following informalities:

Page 1, Line 15: "researches" should recite, "research". This error is also repeated on Page 1, Line 21.

Page 2, Line 15: "have no influence" should recite, "has no influence".

Page 4, Line 1: "refrigerant is dissolved, should be" should recite, "refrigerant is dissolved, and should be".

Appropriate correction is required.

## Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the second paragraph of 35 U.S.C. 112:

  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 1-11 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Regarding Claim 1, a reciprocating compressor is claimed comprising an evaporator, a reciprocating compressor, etc. It is unclear in light of the specification whether one reciprocating compressor is being claimed or two reciprocating compressors are being claimed. From the examiner's understanding of the disclosure, only one reciprocating compressor is being claimed and the preamble of claim 1 will be interpreted as if it recited, "a refrigerating system comprising".

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Regarding Claims 2-11, there is insufficient antecedent basis for the limitation "the refrigerating system", however this can be overcome by amending the preamble of claim 1 to recite, "a refrigerating system comprising" instead of "a reciprocating compressor comprising".

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## **Double Patenting**

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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5. Claims 1 and 4-11 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-10 of copending Application No. 10/539,310. Although the conflicting claims are not identical, they are not patentably distinct from each other because the additions of the limitations such as a controller, a capillary tube, a condenser, and an evaporator are obvious well-known additions to a compressor for refrigerating applications and the more distinct features of the application such as the specific elements of the compressor as well as the type of refrigerant and mineral oil used are already disclosed in the copending application 10/539301. Therefore, the patent rights of the conflicting claims in either application would be extended if both applications were to mature into patents.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

The following table outlines the novel elements of the current application and the conflicting claims of copending application 10/539301. The elements of claim 1 such as a controller, evaporator, condenser, and capillary tube are not claimed in copending application 10/539301 but would be obvious additions given the scope of the copending claims and the well-known use of these elements with reciprocating compressors for refrigerants.

Current Application* (10539310) -	Copending Application (10/539301) -
Refrigerating System Having Reciprocating Compressor	Reciprocating Compressor for Compressing Refrigerant
Claim 1: A reciprocating compressor comprising:	Claim 1: A reciprocating compressor for compressing a refrigerant comprising:

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- "a reciprocating compressor which includes a driving unit having a stator consisting of an outer stator fixed inside a hermetic container"
- "a mover consisting of magnets disposed at regular intervals between the outer stator and the inner stator and linearly and reciprocally moved"
- "an organic compound refrigerant sucked into the evaporator and comprising carbon and hydrogen, a sort of natural refrigerant and having combustibility and explosiveness"
- "a compression unit for performing a compressing operation on a refrigerant upon receiving the linear reciprocal motional force of the driving unit, and a lubrication unit for supplying the lubricant, a sort of a mineral oil, to each motional portion of the driving unit and the compression unit and performing a lubrication operation"
- "a mineral-based lubricant stored inside a hermetic container of the reciprocating compressor and performing a lubricating operation on each sliding part"

- a hermetic container to which a suction pipe and a discharge pipe;
- a driving unit having a stator fixed inside the hermetic container and a mover disposed spaced apart from the stator and linearly and reciprocally moved according to an interaction with the stator;
- an organic compound refrigerant sucked into the suction pipe, having an combustibility and explosiveness and consisting of only carbon and hydrogen
- a compression unit for receiving a reciprocal motional force of the driving unit and making a compression operation on the organic compound refrigerant; and
- a mineral-based lubricant filled at a lower portion of the hermetic container; and a lubrication unit for supplying the mineral-based lubricant to each motional portion of the driving unit and the compression unit and performing a lubricating operation

#### Claim 1:

- "a driving unit having a stator consisting of an outer stator fixed inside a hermetic container"
- "an inner stator disposed with a certain air gap with an inner circumferential surface of the outer stator"
- "a winding coil wound at one of the outer stator and the inner stator, to which power is applied from an external source"
- "a mover consisting of magnets

<u>Claim 2:</u> The reciprocating compressor of claim 1, wherein the stator comprises:

- an outer stator fixed at the hermetic container;
- an inner stator disposed with a certain air gap with an inner circumferential surface of the outer stator; and
- a winding coil would at one of the outer stator and the inner stator, to which power is applied from an external source, and
- the mover comprises magnets

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disposed at regular intervals between the outer stator and the inner stator and linearly and reciprocally moved when power is applied to the winding coil and a magnet frame, in which the magnets are mounted, for transmitting a linear reciprocal motional force to a compression unit" disposed at regular intervals between the outer stator and the inner stator and being linearly and reciprocally moved when power is applied to the winding coil; and a magnet frame having the magnets mounted thereon and transmitting a linear and reciprocal motional force to the compression unit.

<u>Claim 4:</u> The refrigerating system of claim 1, wherein the compression unit comprises:

- a piston connected to the mover and linearly and reciprocally moved;
- a cylinder into which the piston is slidably inserted to form a certain compression chamber;
- a suction valve mounted at a refrigerant passage 56 formed at the piston and preventing a backflow of the refrigerant after being introduced into the compression chamber; and
- a discharge valve mounted at the front side of the cylinder and performing an opening and closing operation on a compressed refrigerant.

<u>Claim 5:</u> The refrigerating system of claim 1, wherein the lubrication unit comprises:

- a lubricant pumping unit for pumping a lubricant filled with a certain amount at a lower portion of the hermetic container; and
- a lubricant supply passage for supplying the lubricant pumped by the lubricant pumping unit to a frictional portion between the piston and the cylinder.

#### Claim 6:

• The refrigerating system of claim 1,

Claim 3: The reciprocating compressor of claim 1, wherein the compression unit comprises:

- a piston connected to the mover and linearly and reciprocally moved;
- a cylinder, into which the piston is slidably inserted, for forming a certain compression chamber;
- a suction valve mounted at a refrigerant passage formed at the piston and preventing a backflow of the refrigerant after being introduced into the compression chamber; and
- a discharge valve mounted at the front side of the cylinder and performing an opening and closing operation on the compressed refrigerant.

<u>Claim 4:</u> The reciprocating compressor of claim 1, wherein the lubrication unit comprises:

- a lubricant pumping unit for pumping the lubricant filled as much as a certain amount at a lower portion of the hermetic container; and
- a lubricant supply passage for supplying the lubricant pumped by the lubricant pumping unit to a frictional portion between the piston and the cylinder.

### Claim 5:

• The reciprocating compressor of

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wherein isobutane (R600a) which is hydrocarbon-based and has a molecular formula of CH(CH <sub>3</sub> ) <sub>3</sub> is used as the refrigerant.	claim 1, wherein the refrigerant is isobutane (R600a) which is hydrocarbon-based and has a molecular formula of CH(CH <sub>3</sub> ) <sub>3</sub> .
<ul> <li>Claim 7:</li> <li>The refrigerating system of claim 1, wherein, wherein the lubricant is a paraffin-based lubricant.</li> </ul>	<ul> <li>Claim 6:</li> <li>The reciprocating compressor of claim 1, wherein the lubricant is a paraffin-based lubricant.</li> </ul>
Claim 8:  • The refrigerating system of claim 1, wherein the lubricant has a density of 0.866∼0.880 g/cm³ at a temperature of 15°C and a flash point of above 140° C.	Claim 7:  • The reciprocating compressor of claim 1, wherein the lubricant has a density of 0.866~0.880 g/cm³ at a temperature of 15°C and a flash point of above 140° C.
<ul> <li>Claim 9:</li> <li>The reciprocating compressor of claim 1, wherein the lubricant has a kinematic viscosity of 7.2~21.8 mm²/s at a temperature of 40°C and a viscosity index of 73~99.</li> </ul>	<ul> <li>Claim 8:</li> <li>The reciprocating compressor of claim 1, wherein the lubricant has a kinematic viscosity of 7.2~21.8 mm²/s at a temperature of 40°C and a viscosity index of 73~99.</li> </ul>
Claim 10:  The reciprocating compressor of claim 1, wherein the lubricant has a flow point of below -25°C and a total acid number of below 0.01 mg KOH/g.	Claim 9:  The reciprocating compressor of claim 1, wherein the lubricant has a flow point of below -25°C and a total acid number of below 0.01 mg KOH/g.
Claim 11:  The reciprocating compressor of claim 1, wherein the lubricant has a water content of below 20 ppm and a breakdown voltage of above 30 kV.	Claim 10:  The reciprocating compressor of claim 1, wherein the lubricant has a water content of below 20 ppm and a breakdown voltage of above 30 kV.

# Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

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invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 7. Claims 1-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Song et al. (U.S. Patent 6,435,842; Hereinafter, Song '842) in view of Song (U.S. Patent 5,809,792; Hereinafter, Song '792) and Morita et al. (U.S. Patent 6,575,716; Hereinafter, Morita).
- 8. Regarding claims 1, 2, 3, 4, 5, and 6 Song '842 discloses a hermetic container V to which a suction pipe SP and a discharge pipe DP are connected. A driving unit has a stator 4B fixed inside the hermetic container and a mover 5 disposed apart from the stator and linearly and reciprocally moved according to an interaction with the stator (Column 1, Lines 32-35). Stator 4B is fixed at the hermetic container (Column 1, Line 29) and an inner stator 4A is disposed with a certain air gap (Column 1, Lines 30-31) with an inner circumferential surface of the outer stator. A winding coil to which power is applied from an external source is wound at the outer stator 4B and is shown by an "X" in Figure 1. The mover 5 comprises magnets disposed at regular intervals between the outer stator and the inner stator and being linearly and reciprocally moved when power is applied to the winding coil (Column 1, Lines 33-35). The mover 5 further serves as a magnet frame having magnets mounted on it and transmitting a linear and reciprocal motional force to the compression unit (Column 1, Lines 33-35).

The compression unit disclosed by Song '842 includes a piston 6 connected to the mover 5 and linearly and reciprocally moved (Column 1, Lines 33-35), as well as a cylinder 3 into which the piston 6 is slidably inserted, for forming a compression chamber. Also included are a suction valve 8 (Column 1, Line 58) mounted at a

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refrigerant passage formed at the piston and preventing a backflow of the refrigerant after being introduced into the compression chamber as is well known in the art, as well as a discharge valve 9a (Column 1, Line 59) mounted at the front side of the cylinder and performing an opening and closing operation on the compressed refrigerant, as is also well known.

A lubrication unit O is shown in Figure 1 having a lubricant pumping unit for pumping oil at a lower portion of the hermetic container, and a lubricant supply passage for supplying the lubricant pumped by the lubricant pumping unit to a frictional portion between the piston and cylinder (Column 1, Lines 18-20).

Song '842 does not disclose a controller, evaporator, condenser, or a capillary tube. However, Song '792 discloses a compressor 11 having a condenser 12 for changing the compressed refrigerant to a liquid refrigerant, a capillary tube 13 for decompressing the refrigerant discharged from the condenser, and an evaporator 14 for performing a cooling operation as a refrigerant is evaporated (Column 1, Lines 20-28).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Song '842 to include a condenser, evaporator, and capillary tube as disclosed by Song '792. These elements allow the condenser to be part of a normal refrigeration cycle, which is clear as Song '792 is disclosing these elements as part of a conventional refrigerator (Column 1, Lines 1-28).

Song '792 also discloses various controllers in Figures 5, 6, and 7 for varying a capacity 320 of the compressor 11 according to an ambient temperature detected by a sensor such as 230e. The controller determines an output value to the compressor 11

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according to a phase difference between a current and a voltage as detected by a triac 310 (Column 4, Lines 37-42). It would have been obvious to one of ordinary skill in the art at the time of the invention to modify Song '842 to include the controller disclosed by Song '792, as this would allow optimum cooling efficiency of the refrigerator to be obtained (Column 4, Lines 15-16).

Song '842 does not disclose that the reciprocating compressor includes a mineral-based lubricant or an organic compound refrigerant. However, Morita discloses in Figure 6 a reciprocating compressor that may use mineral oil (Column 1, Lines 56-57) and a refrigerant such as isobutane (Column 2, Line 9) which is hydrocarbon based and has a molecular formula of  $C_2H_{10}$ .

It would have been obvious at the time the invention was made to include in Song '842 the use of mineral oil and isobutane as disclosed by Morita, as Song '842 simply does not specify the type of oil or refrigerant used, and Morita discloses that it is conventional for linear compressors to use mineral oil (column 1, Lines 56-57, 63-634) and isobutane (Column 2, Lines 7-9).

9. Claims 7 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over the references as applied to claim 1 above, and further in view of Chevron 600R (http://web.archive.org/web/20010217041255/www.chevron.com/prodserv/BaseOils/neu tral\_prop.htm).

Song '842 in view of Song '792 and Morita as applied to claim 1 above discloses all the limitations as claimed except that, neither Song '842, Song '792, or Morita specify that the oil used in the compressor is paraffin-based and has specific values regarding

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density and flash point. However, as disclosed on the webpage, Chevron 600R is 72% paraffinic with a flash point above 140°C (270°C specifically) and a density between 0.866~0.880 g/cm³ (.8740 g/cm³ specifically) which is calculated from the specific gravity (.8740) at 60°F, or 15°C. The specific gravity equals the ratio of the density of the oil to the density of water (1 g/cm³), and therefore the density of the oil is .8740 \* 1 g/cm³ which equals .8740 g/cm³.

invention was made to use an oil in Song '842 such as Chevron 600R. As is disclosed in the description webpage (http://web.archive.org/web/20010217040328/www.chevron.com/prodserv/BaseOils/neu tral.htm), Chevron 600R provides "superior cold-flow performance" which would make it

It would have been obvious to one of ordinary skill in the art at the time the

desirable for compressors such as that disclosed by Song '842 that are used in low temperature refrigeration applications.

10. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over the references as applied to claim 1 above, and further in view of Chevron 100R (http://web.archive.org/web/20010217041255/www.chevron.com/prodserv/BaseOils/neu tral\_prop.htm).

Song '842 in view of Song '792 and Morita as applied to claim 1 above discloses all the limitations as claimed except that, neither Song '842, Song '792, or Morita give specific viscosity values for the oil used in the compressor. However, as disclosed on the webpage, Chevron 100R has a kinematic viscosity at 40°C between 7.2~21.8 mm²/s (19.7 cSt specifically; 1 cSt = 1 mm²/s).

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to use an oil in Song '842 such as Chevron 100R for the same reasons regarding cold flow performance applied to Claims 7 and 8, which apply to Chevron 100R as well as Chevron 600R. Note: The URL of the web pages from the Internet Archive indicates that the websites date from February 17<sup>th</sup>, 2001, which is more than one year prior to the effective date of the present application.

11. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over the references as applied to claim 1 above, and further in view of Sunaga et al. (U.S. Patent 6,454,960; Hereinafter, Sunaga).

Song '842 in view of Song '792 and Morita as applied to claim 1 above discloses all the limitations as claimed except that, neither Song '842, Song '792, or Morita give specific values for the flow point or total acid number of the oil. However, Sunaga discloses a compressor using an oil having a pour point of –40°C or less and a total acid number of 0.02 mgKOH/g or less (Column 5, Lines 3-5).

It would have been obvious at the time the invention was made to one of ordinary skill in the art to include oil in Song '842 with the properties disclosed by Sunaga. This would allow, as Sunaga discloses, "a preferable refrigerator to be obtained since the total acid number of a polyvinyl ether oil is reduced to restrain the generation of a metal soap to prevent adverse effect on the refrigerating cycle" (Column 9, Lines 8-11).

12. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over the references as applied to claim 1 above, and further in view of Kondo et al. (U.S. Patent

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5,342,533; Hereinafter, Kondo) and Kusayanagi et al. (U.S. Patent 4,770,763; Hereinafter, Kusayanagi).

Song '842 in view of Song '792 and Morita as applied to claim 1 above discloses all the limitations as claimed except that, neither Song '842, Song '792, or Morita disclose that the lubricant has specific properties for water content or breakdown voltage. However, Kondo discloses a refrigerator oil composition having a water content of not more than 20 ppm (Column 7, Lines 14-15). It would have been obvious to one of ordinary skill in the art at the time of the invention to include oil in Song '842 having water content less than 20 ppm as disclosed by Kondo, who discusses that water has an unfavorable influence on the thermal stability of oil (Column 7, Lines 8-11).

Kusayanagi discloses in Table 5 an oil ('Sample A of the Invention A') having a breakdown voltage of 70.3 kV (Column 8, Line 57). It would be obvious to one of ordinary skill in the art to include an oil in Song '842 having a high breakdown voltage such as that disclosed by Kusayanagi. This would provide better insulating properties in an application where the oil should not conduct electricity between elements, such as in a reciprocating compressor.

#### Conclusion

13. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Japanese Patent JP 08200224 discloses a compressor using isobutane and a mineral oil.

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Japanese Patent Application JP 2003003958 discloses a hermetic electric compressor using R600A as a coolant.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Francisco M. Ley whose telephone number is (571) 270-1299. The examiner can normally be reached on Monday-Friday, 8:30am-6:00pm, Alt Fridays, EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Stashick can be reached at (571) 272-4561. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

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/FML/ September 7, 2007

ANTHONY D. STASHICK SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3700